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Estimating and Forecasting PM<sub>2.5</sub> Concentrations in Malaysia

Research Area: Basic Science

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## ABSTRACT (120 words)

Compared to PM<sub>10</sub>, PM<sub>2.5</sub> can penetrate deeper into the lungs, and can cause aggravation of cardiovascular and systemic inflammation. Recently, only 65 stations are available over whole Malaysia territory to measure PM<sub>2.5</sub>. These Limited stations provide insufficient data to describe the spatial variations in PM<sub>2.5</sub> and to forecast its concentrations. Therefore, remotely sensed satellite data were used in this study to estimate PM<sub>2.5</sub> on a daily basis over a large spatial domain i.e. entire Malaysia territory. Mapping highly polluted locations with PM<sub>2.5</sub> will help the public health organizations and environmental protection agencies to estimate mortality rate attributed to ambient air pollution and the future PM<sub>2.5</sub> concentration prediction can help local authorities to perform preventative measures.

## 1. INTRODUCTION

In Malaysia, Particulate Matter <10 micron (PM<sub>10</sub>) were measured as a main parameter for Air Pollutant index (API) from 1995-2017. Previously, Malaysian API became an issue when people notice the stark difference in air pollution reading between Malaysia (specifically in Sothern Peninsular) and Singapore even though the haze was evidently bad (New Straits Times., 2015). Thus, starting mid-August 2018, DOE improved the calculation of API by using Particulate Matter <2.5 micron (PM<sub>2.5</sub>). However, only 65 operational stations are still insufficient to cover the whole Malaysian territory with an area of 330,290 km<sup>2</sup>. Establishing more air quality monitoring stations is very costly and a certain station is only capable to satisfactorily represent the pollutant concentrations within a radius of about 15 km (Ibrahim, Ismail, & Yong, 2012). Alternatively, remote sensing data encourages more studies to be conducted on atmospheric particulates and air quality, since satellite-based technology provides aerosol optical depth (AOD) as a key predictor of PM over a large area (Van Donkelaar *et al.*, 2010). During the last 20 years, several studies on PM estimation using AOD products from various satellite sensors have been conducted in polluted regions such as India, China, SEA and elsewhere (Dey *et al.*, 2012; Gupta *et al.*, 2006; Sinha *et al.*, 2015; Wang & Christopher, 2003). In order to extend the spatial coverage to the whole country (both Peninsular and Island Malaysia) and for improving the accuracy of PM<sub>2.5</sub> estimates, the present study integrates hourly AOD products from Himawari-8 satellite sensor, along with meteorological parameters and other gaseous pollutants using machine learning techniques i.e., random forest (RF) and Support Vector Regression (SVR).

## 2. RESEARCH METHODOLOGY

### Data

The prime data that was used to obtain PM<sub>2.5</sub> over a large spatial domain is the Aerosols Optical Depth (AOD) data. AOD corresponds to the total columnar aerosol, whereas PM refers to particle concentration at the surface. These two parameters are strongly correlated and the correlation depends on the vertical distribution of aerosols and several other factors (described below). AOD

data used in this study is provided by the Himawari-8 satellites. Daily data with 5km spatial resolution covering years 2018 to 2019 were used. PM<sub>2.5</sub>, meteorological and gases data obtained from the Department of Environment (DOE) Malaysia. Hourly PM<sub>2.5</sub> concentrations collected from 65 stations around Malaysia were used for an empirical model development. In order to develop a robust prediction model, it is necessary to consider potential factors that affect the PM<sub>2.5</sub> concentrations as much as possible. Atmospheric gases data such as Carbon monoxide, Nitrogen dioxide, Ozone and Sulphur dioxide also will be used as the combination of these precursor gases are able to form PM<sub>2.5</sub>, while meteorological parameters such as wind speed, wind direction, ambient temperature, and relative humidity can affect the concentration and distribution of PM<sub>2.5</sub>.

## Methods

PM<sub>2.5</sub> will be estimated using an empirical model relating PM<sub>2.5</sub> measured in the field with AOD (from Himawari-8 satellite data) coupled with meteorological and chemical parameters. A machine learning techniques called Random Forest (RF) and Support Vector Regression (SVR) were used as it can provide insight into the spatial-temporal distribution of PM<sub>2.5</sub>.

Once PM<sub>2.5</sub> is estimated for entire Malaysia, forecasting of PM<sub>2.5</sub> for the next day was performed. In the forecasting model, the average daily PM<sub>2.5</sub> is considered as dependent variable and wind speed, wind direction, ambient temperature, relative humidity, rainfall CO, NO<sub>2</sub>, O<sub>3</sub> and SO<sub>2</sub> as independent variables. All the parameter for the year 2018-2019 were used in order to gain a better understanding of PM<sub>2.5</sub> temporal variability. The results of the study (PM<sub>2.5</sub> estimation) was validated using cross validation (CV) technique in “e1071” and “Random Forest” in the R program. The developed model were validated by using 10 fold cross-validation techniques. During the validation of the second model (forecast model), the input parameters of the days before were used and the predicted PM<sub>2.5</sub> was compared with actual PM<sub>2.5</sub> value. The accuracy of the models will be evaluated through performance indicators i.e. Root Mean Square Error, Mean Bias Error (MBE) and Nash-Sutcliffe efficiency (NSE).

## 3. LITERATURE REVIEW

Significant progress has been made in developing and establishing various techniques for estimating PM concentrations at local, regional and global scales. In early 2000s, most researchers predicted PM using only AOD, by means of simple linear regression techniques (J. A. Engel-Cox, Holloman, Coutant, & Hoff, 2004; Wang & Christopher, 2003). Later, more advanced techniques were developed to incorporate AOD and other important parameters that may influence PM distribution spatially and temporarily, starting from multiple linear regressions (Benas, Beloconi, & Chrysoulakis, 2013; Chitranshi, Sharma, & Dey, 2015; Gupta & Christopher, 2009a; Schaap, Apituley, Timmermans, Koelemeijer, & Leeuw, 2009; Zaman, Kanniah, & Kaskaoutis, 2017), chemical transport models (CTM) (Crouse et al., 2016; J. Engel-Cox, Kim Oanh, van Donkelaar, Martin, & Zell, 2013; Liu et al., 2004; Van Donkelaar, Martin, & Park, 2006), mixed effect models (MEM) (Beloconi, Kamarianakis, & Chrysoulakis, 2016; Kloog, Koutrakis, Coull, Lee, & Schwartz, 2011; Lee, Liu, Coull, Schwartz, & Koutrakis, 2011; Xie et al., 2015), artificial neural networks (ANN) (Di et al., 2016; Grivas & Chaloulakou, 2006; Gupta & Christopher, 2009b; Wu, Guo, Zhang, & Li, 2011; Zaman et al., 2017), geographic weighted regression (GWR) (Bai et al., 2016; Z. Hu, 2009; Ma, Hu, Huang, Bi, & Liu, 2014; You et al., 2016) and generalized additive models (GAM) (Liu, Paciorek, & Koutrakis, 2009; Paciorek, Liu, Moreno-Macias, & Kondragunta, 2008; Song et al., 2015; Zou, Chen, Zhai, Fang, & Zheng, 2016). These techniques are limited to capture the non-linear relationships that exist between the variables. Consequently, complex techniques have been developed by combining two or more statistical techniques; for instance, merging MEM and GWR (X. Hu et al., 2014) or incorporating MEM into GAM (Ma et al., 2016) etc. Machine learning techniques such as deep neural network (DNN), support vector regression (SVR) and random forest (RF) are also able to capture the complex relationships between parameters and have greater performance in estimating PM<sub>2.5</sub> (Danesh Yazdi et al., 2020; Li et al., 2018). Nowadays, machine learning techniques are increasingly used in air quality studies (Gholami, Mohamadifar, Sorooshian, & Jansen, 2020; Gholami, Mohammadifar, Bui, & Collins, 2020; Kleine Deters, Zalakeviciute, Gonzalez, & Rybarczyk, 2017; Shin et al., 2020).

#### 4. FINDINGS

For the overall model, SVR calibration performed slightly better than RF with  $R^2 = 0.69$  and  $RMSE = 10.62 \mu\text{g m}^{-3}$  against measured  $PM_{2.5}$  concentrations. Whilst, for the spatial models the RF validation performed slightly better than SVR for both urban/industrial and suburban/rural areas with statistical indicators of  $R^2 = 0.76$ ,  $RMSE = 11.47 \mu\text{g m}^{-3}$  and  $R^2 = 0.64$ ,  $RMSE = 10.76 \mu\text{g m}^{-3}$ , respectively. Therefore, both RF and SVR displayed slightly higher performance for  $PM_{2.5}$  estimations at urban/industrial sites with higher levels of AOD and air pollutants. Furthermore, the estimation accuracy of SVR and RF models was lower in the wet (November-March) and inter-monsoon (April-May) seasons compared to the dry (June-September) season. Based on the model accuracy and variable importance analysis, CO was always the most influential predictor variable for  $PM_{2.5}$  estimations in Malaysia, followed by AOD,  $O_3$ ,  $NO_2$ ,  $SO_2$  and meteorological parameters, but with different order depending on the dataset and model. An important finding was the very weak correlation and contribution of the meteorological variables to  $PM_{2.5}$  estimations, as well as the low correlation between  $PM_{2.5}$  and columnar AOD, indicating that surface pollution follows a different temporal pattern than AOD, and the presence of a significant aerosol layer aloft due to transported smoke plumes from wildfires in southeast Asia.

#### 5. CONCLUSION

The current results show that the use of machine-learning techniques for  $PM_{2.5}$  estimations over Malaysia is promising as these models can satisfactorily represent the values and temporal evolution of  $PM_{2.5}$  concentrations over both urban/industrial and suburban/rural sites. In a next step, we will include gases pollutants from satellite remote sensing observations in order to estimate  $PM_{2.5}$  concentrations over large areas aiming to cover the whole Malaysian territory with high spatial resolution.

#### 6. PROBLEM/ CONSTRAIN

Due to COVID-19, we cannot disseminate knowledge to the public via workshops. Even though, we have prepare all the paperwork.

#### 7. RESEARCH OUTPUT

(i) Kanniah, K. D., Zaman, N. A. F. K., Kaskaoutis, D. G., & Latif, M. T. (2020). COVID-19's impact on the atmospheric environment in the Southeast Asia region. *Science of the Total Environment*, 139658. Impact factor: 6.551 (Q1)

(ii) Zaman, N. A. F. K., & Kanniah, K. D. 2020, Spatio-temporal assessment of Aerosol Optical Depth from Himawari-8 satellite data over Malaysia. In *IOP Conference Series: Earth and Environmental Science* (Vol. 540, No. 1, p. 012053). IOP Publishing.

(iii) Kasturi Devi Kanniah and Nurul Amalin Fatimah Kamaruzaman, 2021, Remotely Sensed Particulate Matter Estimation in Malaysia during the Biomass Burning Season in Southeast Asia Published by CRC Taylor & Francis (Chapter in Press)

(iv) Nurul Amalin Fatimah Kamarul Zaman, Kasturi Devi Kanniah, Dimitris G. Kaskaoutis and Mohd Talib Latif, 2021,  $PM_{2.5}$  estimations in Malaysia using machine learning techniques, submitted to Atmospheric Research (Q1 journal)

## 8. HUMAN CAPITAL DEVELOPMENT

(i) Suman A/L Selvaraju (Masters student)

(ii) Nurul Amalin Fatihah binti Kamarul Zaman (PhD student)

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